To: Commissioner of Patents and Trademarks Washington, D.C. 20231

Fr: George O. Saile

Reg. No. 19,572

28 Davis Avenue

Poughkeepsie, N.Y. 12603

Subject:

Continuation Application of

Serial No.: 10/054,561 1/22/02

BOR-WEN CHAN, HUAN-JUST LIN, HUN-JAN TAO

METHOD OF FORMING A STACKED CAPACITOR STRUCTURE WITH INCREASED SURFACE AREA FOR

A DRAM DEVICE

PRELIMINARY AMENDMENT

Dear Sir:

This is a preliminary amendment for the above referenced Continuation Patent

Application. Please amend the above identified application for patent as follows:

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on January 12, 2004.

Stephen B. Ackerman, Reg. No. 37,761

Signature/Date

1/12/04